



PATENT  
89188.0040

#12  
9-4-03  
1641

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Paul C. Denny et al.

Serial No: 09/929,293

Filed: August 14, 2001

For: SALIVA-BASED METHODS FOR  
PREVENTING AND ASSESSING  
THE RISK OF DISEASES

Art Unit: 1641

Examiner: Lisa V. Cook

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Signature

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**TRANSMITTAL OF INFORMATION  
DISCLOSURE STATEMENT**

MAIL STOP IDS

Commissioner for Patents

P.O. Box 1450

Arlington, VA 22313-1450

Dear Sirs:

1. ☐ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☒ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☒ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

OR FEE

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.

3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

AND FEE

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**
5. ☒ A list of 5 reference(s) is in the enclosed Form PTO-1449.

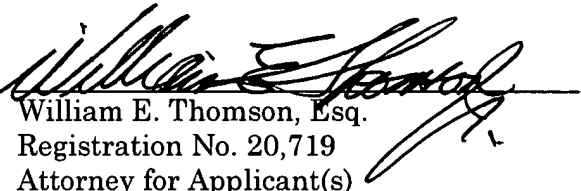
NON-ENGLISH LANGUAGE REFERENCES

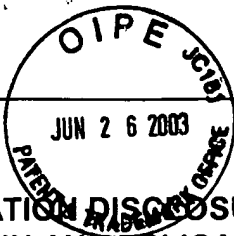
- ☒ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

Respectfully submitted,  
HOGAN & HARTSON L.L.P.

Date: June 23, 2003

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FORM PTO-1449

JUN 26 2003

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(Use several sheets if necessary)

Dock t Numb r (Optional)  
89188.0040Applicati n Number  
09/929,293Applicant  
Paul C. Denny et al.Filing Dat  
August 14, 2001Gr up Art Unit  
1641**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,510,122	4/23/1996	Sreebny et al.	424	537	
	4,444,879	4/24/1984	Foster et al.	435	7	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Troxler, T.F. et al. "Molecular Characterization of a Major Weight Mucin from Human Sublingual Gland." <i>Glycobiology</i> . 1997, Vol.7, No. 7, pages 965-973
	Oemrawshingh I. et al., "Isolation, Purification and Chemical Characterization of Mucins from Human Submandibular Glands." <i>Archives of Oral Biology</i> , 1974, Vol.19, pages 615-626
	Ho, Jenny et al. "Expression of CA19-9, DU-PAN-2, and SPan-1 Antigens on Two Types of Normal Salivary Mucins," <i>Oncology Research</i> . 1993, Vol.5, No. 9, pages 347-356, Abstract only.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.